EPARTMENT OF COMMERCE

Page 1 of

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandra, Virginia 22313-1450 www.uspio.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/799,304

Pandiscio & Pandiscio, P.C.

Waltham, MA 02451-1914

470 Totten Pond Road

Mark J. Pandiscio

03/12/2004

Kevin J. Knopp

AHURA-0607

CONFIRMATION NO. 7835

FORMALITIES LETTER

THE REPORT OF THE PARTY OF THE

OC000000012801705

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - □ The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 2, 3A, 3B, 3C, 5A, 7, & 8.
 - □ The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 4: figure is too dark.
- A replacement abstract not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) and 37 CFR 1.121 is required.

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SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin J. Knopp et al.

Serial No.:

10/799,304

Filing Date:

03/12/2004

For:

HIGH SPECTRAL FIDELITY LASER SOURCE WITH

LOW FM-TO-AM CONVERSION AND NARROWBAND

TUNABILITY

Group Art Unit No.:

2828

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED

Attorney's Docket No.:

WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, AHURA-0607 POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER

FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

August 27, 2004 (DATE OF DEPOSIT)

August 27, 2004

Sir:

(DATE OF SIGNATURE)

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant hereby respectfully submits the following:

a DECLARATION AND POWER OF ATTORNEY document listing (1)the names of each of the inventors, specifically identifying the application by serial number and filing date, and signed by the

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inventors, Kevin J. Knopp, Daryoosh Vakhshoori, Masud Azimi, and Peidong Wang;

- (2) a substitute set of drawings for the application in compliance with 37 CFR 1.84;
- (3) An Abstract that does not exceed 150 words in length, commencing on a separate sheet;
- (4) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILING DATE GRANTED, as requested in the Notice; and
- (5) one (1) check in the amount of \$65.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Small Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

Amus A. Shuridan 8/27/04

James A. Sheridan

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EC/AHURA0607.MPR